



GOVERN 1.1

POLICY # AI - 2	EFFECTIVE DATE February 1, 2025	APPROVED BY Insert Approver
VERSION # 1.0	LAST REVISED Insert Last Revised Date	REFERENCE AI NIST RMF: GOVERN 1.1

Purpose

The purpose of the policy is to understand, manage, and document the legal and regulatory requirements related to AI.

Scope

This policy applies to all departments, employees, contractors, and partners of ORGANIZATION_NAME involved in the design, development, deployment, and operational monitoring of AI systems.

Policy

ORGANIZATION_NAME will subject to specific applicable legal and regulatory requirements for AI systems. ORGANIZATION_NAME maintains policies for training (and re-training) organizational staff about necessary legal or regulatory considerations that may impact AI-related design, development, and deployment activities.

ORGANIZATION_NAME will maintain awareness of the applicable legal and regulatory considerations and requirements specific to industry, sector, and business purpose, as well as the application context of the deployed AI system. ORGANIZATION_NAME'S risk management efforts shall be consistent with applicable legal standards.

Some legal requirements can mandate (e.g., nondiscrimination, data privacy and security controls) documentation, disclosure, and increased AI system transparency. These requirements are complex and may not be applicable or differ across applications and contexts.

Responsibilities

In addition to the responsibilities identified on page six (6), the Information Security Officer is responsible for conducting at least an annual review of the GOVERN 1.1 Policy, making any appropriate changes, and disseminating the updated policy to workforce members.

Retention

Every policy revision/replacement log, reports or appointments will be maintained for a minimum of six (6) years from the date of its creation or when it was last in effect, whichever is later. Other ORGANIZATION_NAME requirements may stipulate a longer retention.

Compliance

Failure to comply with the AI NIST RMF policy will result in disciplinary actions. Legal actions also may be taken for violations of applicable regulations.

Related Form(s) and Evidence

- None



References

- AI NIST Risk Management Framework:
<https://nvlpubs.nist.gov/nistpubs/ai/nist.ai.100-1.pdf>
- AI RMF Play Book:
https://airc.nist.gov/AI_RM_F_Knowledge_Base/Playbook

Contact

Insert Contact Person

Insert Full Address

E: Insert Email ID

P: Insert Phone No.

Policy History

Initial effective date: February 1, 2025